

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT COMMISSIONER FOR PATENTS
Box PCT
Washington, D.C. 20231

U.S. APPLICATION NO.	i i	IST ROMED AFFECKING	ATTI: BOCKET NO.
09/509921	AMELING	R	GK-GEY-1069
GERALD H KIEL		INTERNA	TIONAL APPLICATION NO.
MCAULAY NISSEN GOLDBERG	3 & KIEL	PC	T/EP99/05542
261 MADISON AVENUE		I.A. FILING D.	
NEW YORK, NY 10016 2391		30 JUL 9	
		DATE MAILED: 37 A	99 04 AUG 98 5 MAY 2000
NOTIFICATION OF I	MISSING REQUIREMENTS I	UNDER 35 H.S.C. 371 IN	THE INTED
STAT	TES DESIGNATED/ELECTEL	OFFICE (DO/EO/US)	
1. The following items have been s	ubmitted by the applicant or the	IB to the United States Pate	ent and Trademark Office as
a Designated Office			
an Elected Office (37 CFR 1.495):	MANIE	t ingra
U.S. Basic National Fee.		OCKE	· 1
Copy of the international ap	plication in:	VOIVE	4 1
	age.	F-1400 26 2	on Dath of Declara
Translation of the internation	nal application into English	E force 26, 0	
Oath or Declaration of inver	ntors(s) for DO/EO/US.	•	Dulaca
Copy of Article 19 amendm	ents.		pre
☐ Translation of Article 19 am	endments into English.		,
▼ The International Preliminar	ry Examination Report in English	h and its Annexes, if any.	
☐ Translation of Annexes to the	e International Preliminary Exa-	mination Report into Englis	ih.
Preliminary amendment(s)	filed U 4 APR 2000 an	d	_ ·
Information Disclosure State	ement(s) filed 4 APR 2000	and	<u> </u>
Assignment document.	Lance of Address		
Power of Attorney and/or C			
Substitute specification filed Verified Statement Claiming	Cmall Entity Status		
Priority Document.	; Sman Endry Status.		
Conv of the International Se	arch Report and copies of the	references cited therein.	
Other:			
2. The following items MUST be	furnished within the period set fo	orth below in order to comp	lete the requirements for
acceptance under 35 U.S.C. 371:			Carriered lasse shop sho
☐ a. Translation of the applica	tion into English. Note a proces	ssing ree will be required in	Submitted later than the
appropriate 20 or 30 months	slation is defective for the rea	sons indicated on the at	tached Notice of Defective
Translation.			
☐ b. Processing fee for provide	ling the translation of the applica	tion and/or the Annexes la	ter than the appropriate 20 or
30 months from the priority	e inventors, in compliance with:	37 CED 1 407(a) and (b) i	dentifying the application by
the International application	number and international filing	date.	activitying are approvided by
The current oath o	r declaration does not comply w	ith 37 CFR 1.497(a) and (b) for the reasons indicated
on the attached PC	T/DO/EO/917.		
d. Surcharge for providing (37 CFR 1.492(e)).	the oath or declaration later than	the appropriate 20 or 30 n	nonths from the priority date
2 Additional claim fees of \$	as a 🗌 large entity 🗀	small entity, including an	y required multiple dependen
claim fee, are required. Applicant	must submit the additional claim	fees or cancel the addition	al claims for which fees are
due. See attached PTO-875.			
ALL OF THE ITEMS SET FOR	TH IN 2(a)-2(d) AND 3 ABOV	E MUST BE SUBMITTE	D WITHIN ONE MONTH
FROM THE DATE OF THIS NO	TITCE OR BY \sqcup 21 OR \boxtimes 31	MONTHS FROM THE	PRIORITY DATE FOR
THE APPLICATION, WHICHE	VER IS LATER. FAILURE T	O PROPERLY RESPON	ID WILL RESULT IN
ABANDONMENT.			
The time period set above may be	extended by filing a petition and	fee for extension of time u	nder the provisions of 37
CFR 1.136(a).			
4. Translation of the Annexes MU	ICT he submitted so later that the	time period set above or t	be annexes will be cancelled.
Note processing fee will be require	ed if submitted later than 30 mon	ths from the priority date.	
5. The Article 19 amendments	are cancelled since a translation	was not provided by the ap	propriate 20 (37 CFR.
494(d) or 30 (37 CFR 1.495(d)) n	nonths from the priority date.	• • •	
Applicant is reminded that any con		Datent and Trademark Off	ice must be mailed to the
Applicant is reminded that any con address given in the heading and ir	initialization to the United States iclude the U.S. application no. s	hown above. (37 CFR 1.5)	THE STATE OF THE PARTY OF THE
	ce MUST be return		
Enclosed:	UU AMAADAA DU TUMATTA		>A /) 1
Enclosed: PCT/DO/EO/917	☐ Notice of Defective Tra		/ XX
□ PTO-875		Charit	a Al Burt Péralegal
PORM PCT/DO/EO/905 (Decemi	xr 1997)	Tolephone	: 703-805-3734



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Address: ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT		ATTY, DOCKET NO.	
09/509921	AMELING	R GK-GEY-1069 INTERNATIONAL APPLICATION NO.		
GERALD H KIEL MCAULAY NISSEN GOLDBERG & KIEL	PCT		/EP99/05542	
261 MADISON AVENUE NEW YORK, NY 10016 2391		I.A. FILING DA	TE PRIORITY DATE	
·		30 JUL 9	9 04 AUG 98	
I		DATE MAILED: 25	MAY ZOLU	

NOTIFICATION OF A DEFECTIVE OATH OR DECLARATION

This application fails to contain an oath or declaration acceptable under 35 U.S.C. 371 (c)(4) for entry into the national stage in the United States of America. The period within which to correct these requirements and avoid abandonment is set in the accompanying Office action.

A new oath or declaration, identifying this application by the international application number and international filing date is required. The oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 Is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68. Idoes not identify the specification to which it is directed. Idoes not identify the inventor(s). Idoes not identify the citizenship of each inventor. Idoes not state the person making the oath or declaration believes the named inventor or inventors to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.
FAILURE TO SUBMIT AN OATH OR DECLARATION IN COMPLIANCE WITH 37 CFR 1.497(a) AND (b) WITHIN THE TIME PERIOD SET WILL RESULT IN FAILURE TO ENTER THE NATIONAL STAGE AND THE ABANDONMENT OF THE APPLICATION.
Additionally, the oath or declaration does not comply with 37 CFR 1.63 in that it:
1. does not identify the city and state or city and foreign country of residence or each inventor.
2. does not state that the person making the oath or declaration:
a. has reviewed and understands the contents of the specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration.
b. acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.
3. does not identify the foreign application for patent or inventor's certificate on which priority is claimed pursuant to 37 CFR 1.55, and any foreign application having a filing date before that of the application on which priority is claimed, by specifying the application serial number, country, day, month, and year of its filing.
4. does not state that the person making the oath or declaration acknowledges the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and filing date of the continuation in part application which discloses and claims subject matter in addition to that disclosed in the prior application (37 CFR 1.63(d)). Charitta A. Burt Paralegia Telephone: 703,305-3734

FORM PCT/DO/EO/917 (September 1996)

UNITED STATES DEPARTMENT OF COMMERCE

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			30 JUL	. 99 04 AU	G 98	
		•	DATE MAILED:	13 JUN 20	001	
	NOTIFICAT	ION OF ABAND	ONMENT			
The United Stated Patent and Tra	idemark Office i	n its canacity as				
a Designated Office (37 CFR			ED 1 405) has n	ando tha following	-	
determination:	. 1.454), 122 1 all	Elected Office (37 C	rk 1.493), nas n	lade the following	•	
1. Applicant's letter of expr CFR 1.138 and is hereby		nt received		is in compliand	ce with	
2. Applicant has failed to proceed a 20 months (37 CFR)		S.S. Basic National F	ee by 20 mon	ths (37 CFR 1.494(t	b)(2)),	
3. Applicant has failed to re PCT/DO/EO/905), maile	spond to the no	tification of MISSIN MAY 00	G REQUIREME within the time p	NTS (Form eriod set therein.		
4. Applicant has failed to properly PCT/DO/EO/905), maile attached NOTIFICATION	d		within the time p	eriod set therein. Se		
5. Other.						
			•			
•		•				
Therefore, the above identified ar	oplication failed	to meet the requirem	ents of 35 U.S.C	371 and 37 CFR	$]_{1.494}$	
1.495 and is ABANDONED						
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			30 JUL 99 DATE MAILED: 26	04 AUG 98
NOTIFICATION OF 1	MISSING RECTIF	EMENTS LINDED 2		
		ELECTED OFFICE		HE CHILED
1. The following items have been s		icant or the IB to the I	United States Patent	and Trademark Office as
a Designated Office				
an Elected Office (37 CFR 1.495):			
U.S. Basic National Fee.Copy of the international appropriate	-1:		•	
a non-English langu	nication III.			
English.	ago.			
Translation of the internation	al application into F	inglish.		
Oath or Declaration of inven				
Copy of Article 19 amendme				
☐ Translation of Article 19 am				
The International Preliminar	y Examination Repo	rt in English and its A	nnexes, if any.	
Translation of Annexes to the Preliminary amendment(s) f			ceport uno English.	
Information Disclosure State		APR 2900 and	·	
Assignment document.	17	<u> </u>		- -
Power of Attorney and/or Cl				
Substitute specification filed		<u> </u>		
Verified Statement Claiming	Small Entity Status.			
 ✓ Priority Document. ✓ Copy of the International Services 	and Banant V and	namina af tha mafamana	a aitad thamin	
Other:	iren kepon ala anu e	copies or the reference	es chea merem.	
2. The following items MUST be fi	urnished within the r	eriod set forth below	in order to complet	e the requirements for
acceptance under 35 U.S.C. 371:	•		-	•
a. Translation of the application			vill be required if su	brnitted later than the
appropriate 20 or 30 months			noted on the estimate	had Nation of Defection
Translation.	anon is defective	for the reasons mus	cated on the attac	hed Notice of Defective
 b. Processing fee for providing 30 months from the priority 			r the Annexes later	than the appropriate 20 or
c. Oath or declaration of the the International application	number and internat	ional filing date.		
The current oath or on the attached PC1	C/DO/EO/917.		**	
☐ d. Surcharge for providing the (37 CFR 1.492(e)).	ne oath or declaration	n later than the approp	priate 20 or 30 mon	ths from the priority date
3. Additional claim fees of \$_claim fee, are required. Applicant r				equired multiple dependent claims for which fees are
due. See attached PTO-875.				
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The time period set above may be ex CFR 1.136(a).	ttended by filing a p	etition and fee for ext	ension of time unde	r the provisions of 37
4. Translation of the Annexes MUS Note processing fee will be required				innexes will be cancelled.
5. The Article 19 amendments at 2004(d)) or 30 (37 CFR 1.495(d)) mo	e cancelled since a t onths from the priori	ranslation was not pro ty date.	ovided by the approp	priate 20 (37 CFR.
Applicant is reminded that any commaddress given in the heading and inc				nust be mailed to the
A copy of this notic Enclosed:	e MUST be	returned with	this respons	te. //
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☐ PTO-875			(Charitta/A	Burt Paralegal
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